

**Multi-Stakeholder Workshop
on the United Nations Convention against Corruption and its Review Mechanism
Sarajevo, Bosnia and Herzegovina, 25-29 September 2017**

PRELIMINARY AGENDA (as of 20 September 2017)

Location: Hotel Hollywood, Dr. Mustafe Pintola 23, Ilidža 71000 Sarajevo, Bosnia and Herzegovina

OBJECTIVES: The overall objective of this workshop is to develop the participants' capacity to contribute to the implementation of UNCAC and the work of its Implementation Review Mechanism, and to provide a platform for constructive dialogue between civil society, government focal points and the private sector. A full day of sessions and activities will be devoted to exploring ways in which the UNCAC is applicable in the private sector, as well as highlighting the important role which businesses play in the prevention of corruption. More specifically, the workshop seeks to:

- Equip participants with the requisite substantive expertise in UNCAC provisions to undergo and perform reviews as well as addressing the observations emanating from the reviews;
- Inform participants about the methodology and tools for country reviews;
- Build capacity to reproduce workshop sessions at the national/regional level;
- Provide a platform for constructive dialogue and sharing of experiences.

METHODOLOGY AND DOCUMENTATION: The workshop is designed to deliver particular learning objectives in an interactive manner for governmental focal points (FPs) and civil society organizations (CSOs), depending on the sessions, which are listed below in the agenda. It will include plenary sessions which will enable participants to provide presentations and engage in discussions with the objective to exchanging information and clarifying key concepts. It will also include interactive exercises and practical applications based on mock scenarios to provide concrete learning opportunities for participants.

CSOs representatives and FPs from the Southeast European regions will participate in the workshop. The entire workshop will be held in English.

MATERIALS: the following will be made available for participants during the training:

- The UN Convention against Corruption;
- The Basic Documents of the Review Mechanism (Resolution 3/1, terms of reference, guidelines for governmental experts and the Secretariat and blueprint);
- The UNCAC Self-Assessment Checklist software;
- The Travaux Préparatoires and Legislative Guide of UNCAC;
- Reports and documents of the Implementation Review Group;
- Civil Society Guide: UNCAC and the Private Sector;
- Using the UN Convention against Corruption to advance anti-corruption efforts: a guide;
- Resource Guide on Good Practices in the Protection of Reporting Persons;
- State of Implementation of the United Nations Convention against Corruption - Criminalization, Law Enforcement and International Cooperation;
- An Anti-Corruption Ethics and Compliance Programme for Business: A Practical Guide;
- Guidance to filling in the revised draft self-assessment checklist on the implementation of chapters II (Preventive measures) and V (Asset recovery) of the United Nations Convention against Corruption;
- Online videos, electronic resources and other relevant material submitted by the participants.

Monday 25 September 2017

**** DAY FOR CSOs AND GOVERNMENTS ****

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| 14:30 – 15.30 | <p>Opening ceremony</p> <p>Moderator: Mirella Dummar Frahi, Civil Society Team Leader, UNODC</p> <p>Speakers:</p> <p>Mr. Vladica Babic, Assistant Director, Agency for the Prevention of Corruption and the Coordination of the Fight against Corruption</p> <p>Mr. Wolfgang Thill, Minister-Counsellor, Deputy Head of Mission, Embassy of Austria in Bosnia and Herzegovina</p> <p>Ms. Gillian Dell, Secretary, UNCAC Coalition</p> <p>Mr. Vladan Joksimovic, Head of Secretariat, Regional Anti-Corruption Initiative (RAI)</p> <p>Ms. Sezin Sinanoglu, UN Resident Coordinator and UNDP Resident Representative in Bosnia and Herzegovina</p> <p>Welcoming remarks and an overview of the workshop.</p> |
| 15:30 – 15:45 | Break |
| 15:45 – 18:00 | <p>The United Nations Convention against Corruption and the Implementation Review Mechanism (including SDGs and entry points for NGOs)</p> <p>Session Objective: Enable participants to acquire an overall understanding of the key concepts regarding the Convention, the Conference of the States Parties, its subsidiary bodies with reference to Goal 16 of the 2030 Agenda for Sustainable Development.</p> <p>Speakers:</p> <p>Ms. Lindy Muzila, Crime Prevention and Criminal Justice Officer, UNODC</p> <p>Mr. Rukshana Nanayakkara, Advocacy Manager, Transparency International Secretariat</p> <p>Mr. Tomislav Curic, Regional Anti-Corruption Initiative (RAI)</p> <p>Q&A session</p> |
| 19:00 | Reception |

Tuesday 26 September 2017

**** DAY FOR CSOs AND GOVERNMENTS ****

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| 09:00 – 10:45 | First Session |
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| | <p>The second cycle review: introduction to the substantive requirements for Chapter II (preventive measures)</p> <p>Session Objective: Enable participants to gain knowledge on the substantive requirements of Chapter II of the Convention for the second review cycle.</p> |
| 10 :45 – 11 :00 | Break |
| 11 :00 – 12:30 | <p>Second Session</p> <p>The second cycle review: introduction to the substantive requirements for Chapter V (asset recovery) of the Convention.</p> <p>Session Objective: Enable participants to gain knowledge on the substantive requirements of Chapter V of the Convention for the second review cycle.</p> |
| 12:30 – 13 :30 | Lunch |
| 13:30 – 15:30 | <p>Third Session</p> <p>The UNCAC Second review cycle (Articles tbd) Mock reviews</p> <p>Session Objective: Enable participants to undertake a mock review of (Articles tbd), perform a desk review, country visit and observations.</p> |
| 15 :30 – 15 :45 | Break |
| 15 : 45 – 18:00 | <p>Third Session (continued)</p> <p>Group reporting of the mock reviews.</p> <p>Structured discussions based on the mock review</p> <p>Session Objective: Engage participants in a meaningful discussion on the mock review.</p> |

Wednesday 27 September 2017

****DAY FOR CSOs AND GOVERNMENTS****

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| 09:00 - 10:45 | <p>Fourth Session</p> <p>Measures taken after the First cycle review: Lessons learned and good practices</p> <p>Session Objective: Identify the measures taken after the completion of the first cycle, lessons learned and examples of good practice from government authorities and civil society.</p> |
| 10:45- 11:00 | Break |
| 11:00 – 12:00 | Fourth Session (continued) |

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| | Measures taken after to the First cycle review: Lessons learned and good practices Session |
| 12:00 - 13:00 | Lunch |
| 13:00 - 15:30 | Fifth Session Break-out groups and Roles Reversal: FPs and CSOs exchange their identity and address the implementation of the observations of the first cycle. Session Objective: Provide a platform for constructive dialogue on the different aspects the implementation of the Convention and its review; and present in plenary the discussions from the break-out groups. |
| 15:30 – 15:45 | Break |
| 15:45 - 17:00 | Sixth Session Reporting from the Roles Reversal and conclusions Session Objective: Engage participants in sharing their experiences of the roles reversal and share remarks on the outcomes of the workshop. |
| 17:00 – 17:30 | Closing remarks |

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| Thursday 28 September 2017 | |
| **DAY RESERVED FOR CSOs** | |
| 09:00 – 10:00 | Seventh Session Participating in and reporting on the review process Session Objective: Develop the capacity of participants to participate in, and report on, the review process and implementation activities. |
| 10:00 – 11:00 | Seventh Session (continued) Session Objective: Allow CSOs to share experiences from participating in the 1 st cycle review process and conducting advocacy. |
| 11:00 – 11:15 | Break |
| 11:15 – 12:15 | Eighth Session National advocacy and communication in relation to the review process (in general and on specific issues) Session Objective: Develop the capacity of participants in developing and implementing an advocacy strategy at the national level, and involving all actors, including the private sector. Plus, to look at how to use the review process for monitoring and advocacy on public sector integrity. |
| 12:15 – 13:30 | Lunch |

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| 13:30 – 14:30 | <p>Ninth Session</p> <p>Advocacy on specific issues</p> <p>Session Objective: Look at how to use the review process for advocacy on topics such as access to information, public contracting or anti-money laundering.</p> |
| 14:30 – 15:00 | <p>Tenth Session</p> <p>Experience with the TI National Integrity System Studies in the Balkans</p> <p>Session Objective: Build up the capacity of participants in developing and implementing strategies for action plans at the national level.</p> |
| 15:00 – 15:30 | <p>Eleventh Session</p> <p>Southeastern Europe Platform – Explanation and Brainstorming</p> <p>Session Objective: Help participants to develop specific action plans at the national level.</p> |
| 15:30 – 16:00 | <p>Twelfth Session</p> <p>Corruption in the Western Balkans</p> <p>Session Objective: Build up the capacity of participants in developing and implementing strategies for monitoring action plans at the national level.</p> |
| 16:00 – 16:15 | Break |
| 16:15 – 17:00 | <p>Thirteenth Session</p> <p>Working with the Private Sector to fight corruption</p> <p>Session Objective: Stress the important role that CSOs play in engaging the private sector in anti-corruption measures and on the implementation and monitoring of the UNCAC. Introduce participants to <i>The Civil Society Guide: UNCAC and the Private Sector</i>.</p> |
| 17:00 – 17:30 | <p>Conclusion and Follow-up Session</p> <p>Session Objective: Conclusion and Follow-up activities.</p> |

Friday 29 September 2017

****DAY FOR CSOs AND PRIVATE SECTOR****

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| 09:00 – 09:30 | Registration |
| 09:30 – 10:15 | <p>First session (<i>private sector participants only</i>)</p> <p>Opening of the Session and Introduction to UNCAC and UNODC</p> <p>Session Objective: Official opening of the workshop and introducing its objectives as well as provide private sector participants with an overview of the United Nations Convention against Corruption (UNCAC), discuss UNODC's work with and</p> |

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| | for the private sector, including in the area of anti-corruption, integrity and ethics education. |
| 10:15 – 11:30 | <p>Second Session (<i>open to civil society participants</i>)</p> <p>Developing Effective Anti-Corruption Ethics and Compliance Programmes</p> <p>Session Objective: To train private sector participants on how to establish and implement effective anti-corruption programmes and policies, based on the principles of UNCAC, and give participants the tools to bring that knowledge back to their colleagues and communities.</p> |
| 11.30 - 11.45 | Coffee Break |
| 11:45 – 13:15 | <p>Third Session (<i>private sector participants only</i>)</p> <p>Shaping Corporate Cultures to Enhance Ethics and Compliance Programs</p> <p>Session Objective: To introduce innovative measures, through an interactive session, for creating ethical corporate cultures and strengthening business integrity values, and empower participants to aid others in their business communities to shape ethical business cultures.</p> |
| 13:15 – 14:30 | Lunch and Q&A with Sven Biermann and Ruth Steinholtz |
| 14:30 – 15:30 | <p>Fourth Session (<i>joint CSOs-private sector session</i>)</p> <p>Regional anti-corruption trends and frameworks</p> <p>Session Objective: To highlight corruption trends in the Balkans concerning the private sector, including types of corruption and typical risk factors in the region and consider how they can be tackled through regional legal and institutional frameworks.</p> |
| 15:30 – 15:45 | Coffee Break |
| 15:45 – 16:45 | <p>Fifth Session (<i>joint CSOs-private sector session</i>)</p> <p>Collective action to enhance business integrity in the Balkans: Good Practices and Challenges</p> <p>Session Objective: To bring together private sector and civil society participants to share good practices; discuss challenges and explore ways of cooperation on fighting corruption through multi-stakeholder initiatives, and consider how trust can be built and cooperation enhanced between all relevant stakeholders to better manage corruption risks through collective action.</p> |
| 16:45 – 17:00 | <p>Sixth Session</p> <p>Closing of the Workshop and Way Forward</p> <p>Session Objective: To conclude the workshop and adopt statement of vision of the way forward.</p> |